**grex.info CODE LIST**

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Codes:

* 7 character and then version
* 1: G for GREX
* 2-3: Kind of Participant/Member

IU: Investor-User

PC: Participant Company

SP: Sponsor

IA: Investment Advisor

LF: Law Firm

AL: All

* 4-5: Type of Document

GD: GREX Documents

AG: Agreements

TP: Templates

CL: Checklist

RL: Relevant Laws

KB: Knowledge Base

TU: Tutorials

GR: Grievances

FQ: FAQs

SL: Sponsor List

* 6-7: Numbering of documents
* Last after a dot is version [v.01/ v.01.1]

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BRIEF SUMMARY:

Legal: This Section is about all the Legal documentation and knowledge relevant to GREX Platform and its operations

GREX Documents: These are the documents that all members and participants should know before joining GREX Platform as they are binding documents.

GREX Bye-Laws: GREX Bye-Law is the supreme document of GREX Platform and an abiding instrument which defines the vision, objective and broad framework by which GREX Platform and its larger ecosystem will be governed and operated.

GREX Guiding Principles: GREX Guiding Principles define the code of conduct for GREX and its market which includes international principles of good faith and fair dealing with a purpose to promote ethical conduct, good practices and transparency.

GREX Rules/Regulations: GREX Rules/Regulations are framed by the Governing Council, an independent body of experienced and qualified persons as per Bye-Laws, to regulate the market operations of GREX Platform.

GREX Governing Council Resolutions: These are the binding decisions passed with simple majority in the meetings of Governing Council for the market operations of GREX Platform.

Agreements: This Section contains all legal Agreements that any member/participant shall be required to execute to get associated with GREX Platform.

Investor-User Agreement: This is an Agreement which is to be executed by the resident or non-resident private Investors who have registered themselves on GREX Platform.

Participant Company Agreement: This is an Agreement which is to be executed by the resident unlisted Companies which get registered on GREX Platform.

Sponsor Agreement: This is an Agreement which is to be executed by the Sponsors registering on GREX Platform, which are body corporates and independent financial services institutions approved by GREX to mentor and supervise the Participant Companies.

Investment Advisor Agreement: This is an Agreement which is to be executed by the Investment Advisors associating with GREX, which are body corporate or individuals engaged in the business of providing investment advice to Investor-Users.

Law Firm Agreement: This is an Agreement which is to be executed by the Law Firms associating with GREX, which are engaged in the business of providing legal services to Participant Companies.

Templates/Checklists: This Section provides templates and checklists for all members/participants that are required when being registered on GREX Platform and for further continuance.

Investor On-Boarding and Transaction Readiness: Investor-User …………………………………….

Participant Company BR to join GREX Platform: This is the approval required by the Participant Company from its Board of Directors in Board Meeting for joining GREX Platform, which is in the form of resolution.

Participant Company General Meeting Resolution to alter AOA: This is the approval required by the Participant Company from its Shareholders in General Meeting for altering Articles of Association to match the eligibility criteria of GREX Platform, which is in the form of resolution.

Participant Company Authority Letter for freezing/unfreezing ISIN: This is a letter of Participant Company authorising GREX to freeze/unfreeze International Securities Identification Number (ISIN) with Central Depository Services Limited (CDSL) during the primary/secondary transactions.

Participant Company Authority Letter to appoint Sponsor as Agent: This is a letter of Participant Company authorising the Sponsor to act as an agent during and for the purpose of capital transactions including the Primary Capital raise and the Secondary Transactions.

Checklist for GREX Legal Due Diligence: These are the documents that the Participant Company is required to submit for undergoing the process of legal due diligence before going “Live” on GREX Platform.

Checklist for Participant Company Agreement Signing: These are the documents that the Participant Company is required to submit along with the Participant Agreement before going “Live” on GREX Platform.

Checklist for Requirements to go Live: The Participant Company is required to execute certain documents as well as complete certain procedures including getting dematerialized. This list guides the Participant Company for completion of the process.

Board Resolution for Sponsor (Company): This is the approval required by the Sponsor which is a body corporate, from its Board of Directors in Board Meeting for joining GREX Platform, which is in the form of resolution.

Authority Letter for Sponsor (LLP and other): This is the approval required by the Sponsor which is LLP or any other entity, for joining GREX Platform, which is in the form of a letter.

Checklist for Sponsor Agreement Signing: These are the documents that the Sponsor is required to submit along with the Participant Agreement.